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4-8-03

Date

Hann Hechan

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

OZAWA et al.

Serial No.: 09/932,451

Group Art Unit: 1635

Filing Date: August 17, 2001

Examiner: Whiteman, Brian A.

Title: ADENO-ASSOCIATED VIRUS-MEDIATED DELIVERY OF
ANGIOGENIC FACTORS

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL OF RESPONSE

Enclosed are the following documents in response to the Office Communication mailed March 19, 2003, for the above-identified application:

- [X] Communication
- [X] Response to Species Election Requirement
- [X] Sequence Listing (hard copy) (3 pp)
- [X] Sequence Diskette
- [X] Copy of Notice to Comply re Sequence Listing
- [X] Petition for Extension of Time
- [X] Return receipt postcard
- [X] Check No. 18202 in the amount of \$ 465.00 for the total fee as calculated below

\$ 465.00 for Petition for Extension of Time

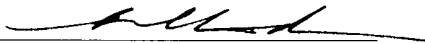
Applicant: [illegible] SMALL ENTITY STATUS: [illegible]

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The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

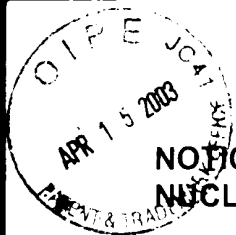
Respectfully submitted,

Date: 4/8/03

By: 

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**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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